

# Adult Social Care Committee

Item No.

<b>Report title:</b>	Risk Management
<b>Date of meeting:</b>	4 March 2019
<b>Responsible Chief Officer:</b>	<b>James Bullion, Executive Director of Adult Social Services</b>
<b>Strategic impact</b>	
Monitoring risk management and the departmental risk register helps the Committee undertake some of its key responsibilities and provides contextual information for many of the decisions that are taken.	

## Executive summary

At the Committee in October 2018, it was agreed to bring the Risk Management report on an exception basis, i.e. where there had been any significant changes. A significant change can be defined as any of the following:

- a) A new risk
- b) A closed risk
- c) A change to the risk score
- d) A change to the risk title or description (where significantly altered).

Since the last Committee meeting the departmental risk register has been reviewed by the Senior Management Team and the Risk Management Officer.

Although there have been no major changes to the risk register, as this is the last meeting under the existing Committee arrangements, this report is to provide Members with the latest on the existing corporate and departmental risks reported by exception for Adult Social Services.

## Recommendations:

Committee Members are recommended to:

- a) Note the current risks reported by exception in Appendix A
- b) Consider whether any further action is required

**Appendix A – Risk Register report (p42)**

**Appendix B – Background information (p56)**

## 1 Proposal

1.1 The Adult Social Care Risk Register has been reviewed in conjunction with the Risk Management Officer and the Senior Management Team. This report provides Members with an update on the existing departmental risks reported by exception (those risks with a score of 12 or more and a prospects score of red or amber) highlighting any changes which have occurred since this was last reported to Committee in October 2018.

## 2 Evidence

2.1 The Adult Social Services departmental risk register reflects both corporate and departmental key business risks that need to be managed by the Senior Management Team and which, if not managed appropriately, could result in the service failing to

achieve one or more of its key objectives and/or suffering a financial loss or reputational damage. The risk register is regularly reviewed and updated in accordance with the Council's Risk Management Policy.

### **3 Risk Register**

- 3.1 Each risk score is expressed as a multiple of the impact and the likelihood of the event occurring.
- 3.2 In total there are seventeen risks currently managed on the departmental risk register (of which two are corporate risks). Five risks are reported as "High" (risk score 16–25), ten as "Medium" (risk score 6–15) and two as "Low" (risk score 1–5). A copy of the Risk Matrix and Tolerance Levels appears at **Appendix B**. Of the seventeen risks, eleven are reported by exception.
- 3.3 The current risks are those identified against the departmental objectives for 2018/19 and have been reviewed for this report.

### **4 Attachments**

- 4.1 **Appendix A** provides Members with the latest departmental risks on a page, providing the full details of the current Adult Social Services departmental risks reported by exception.

**Appendix B** provides Members with background information including the plotting of all current departmental risks on a risk management matrix, and risk tolerance levels.

### **5 Financial Implications**

- 5.1 There are no financial implications other than those identified within the risk register report in Appendix A. The main financial based risks reported by exception are RM023, RM13926, and RM14262.

### **6 Issues, risks and innovation**

- 6.1 There are no other significant issues, risks and innovations arising from this Risk Management report.

#### **Officer Contact**

If you have any questions about matters contained in this paper or want to see copies of any assessments, e.g. equality impact assessment, please get in touch with:

<b>Officer name :</b>	<b>Email address :</b>	<b>Tel No. :</b>
Sarah Rank	<a href="mailto:sarah.rank@norfolk.gov.uk">sarah.rank@norfolk.gov.uk</a>	01603 222054



If you need this report in large print, audio, Braille, alternative format or in a different language please contact 0344 800 8020 or 0344 800 8011 (textphone) and we will do our best to help.