

Adult Social Care Committee

Item No.

Report title:	Risk Management
Date of meeting:	6 November 2017
Responsible Chief Officer:	James Bullion, Executive Director of Adult Social Services
Strategic impact Monitoring risk management and the departmental risk register helps the Committee undertake some of its key responsibilities and provides contextual information for many of the decisions that are taken.	

Executive summary

At the Committee in June 2017, we agreed to bring the Risk report on an exception basis, ie where there had been any significant changes. A significant change can be defined as any of the following;

- A new risk
- A closed risk
- A change to the risk score
- A change to the risk title or description (where significantly altered).

Since the last Committee meeting the risk register has been reviewed by the Senior Management Team and the Risk Management Officer. The changes outlined below at 1.2 to 1.4 were identified as significant and have therefore been brought before this Committee.

Recommendations:

Committee Members are asked to:

- a) Agree to the addition of the new Risk RM023 which will replace RM020a and RM020b**
- b) Agree to the removal of Risk RM020a/RM14079 and RM020b/RM0207 (as per a above)**
- c) Agree to change the prospect Risk score for Risk RM014b from red to green**
- d) Consider if any further action is required**

1. Proposal

- 1.1 The Adult Social Care Risk Register has been reviewed in conjunction with the Risk Management Officer and the Senior Management Team. This report provides Members with an update on changes to the risk register which have occurred since this was last reported to Committee in June.
- 1.2 Having reviewed the Corporate Risks on the register in conjunction with the Managing Director it has been agreed that in order to reflect the current position regarding funding and demography, it is fundamental to have this identified on the Risk register.
- 1.3 There are currently two risks on the register around meeting both the current needs and long term needs of citizens (RM020a/RM14079 and RM020b/RM0207), however as part

of this is covered under our Promoting Independence Strategy (Risk RM13923) these two risks could now be removed and replaced by RM023 in conjunction with RM13923.

- 1.4 At the Committee meeting on 4 September, members agreed to amend the transport savings to £0.700m in 2018-19 (from £3m) and £1m in 2019-20 (from £0.800m). It is therefore recommended that we amend the Prospect Risk Score from red to green.

2. Evidence

- 2.1 The Adult Social Services departmental risk register reflects both corporate and departmental key business risks that need to be managed by the Senior Management Team and which, if not managed appropriately, could result in the service failing to achieve one or more of its key objectives and/or suffering a financial loss or reputational damage. The risk register is a dynamic document that is regularly reviewed and updated in accordance with the Council's "Well Managed Risk – Management of Risk Framework".
- 2.2 A clear focus on strong risk management is necessary as it provides an essential tool to ensure the successful delivery of our strategic and operational objectives. The Business Development Manager meets regularly with the Risk Management Officer to provide an update on each of the risks contained within the risk register.

3. Risk Register

- 3.1 Each risk score is expressed as a multiple of the impact and the likelihood of the event occurring:
- a) Original risk score – the level of risk exposure before any action is taken to reduce the risk when the risk was entered on the risk register
 - b) Current risk score – the level of risk exposure at the time the risk is reviewed by the risk owner, taking into consideration the progress of the mitigation tasks
 - c) Target risk score – the level of risk exposure that we are prepared to tolerate following completion of all the mitigation tasks
- 3.2 In accordance with the Risk Matrix and Risk Tolerance Level set out within the current Norfolk County Council "Well Managed Risk - Management of Risk Framework", four risks are reported as "High" (risk score 16–25) and 14 as "Medium" (risk score 6–15) and one as "Low" (risk score 1-5). A copy of the Risk Matrix and Tolerance Levels appears at **Appendix B**.
- 3.3 The prospects of meeting target scores by the target dates are a reflection of how well mitigation tasks are controlling the risk. It is also an early indication that additional resources and tasks or escalation may be required to ensure that the risk can meet the target score by the target date. The position is visually displayed for ease in the "Prospects of meeting the target score by the target date" column as follows:
- a) Green – the mitigation tasks are on schedule and the risk owner considers that the target score is achievable by the target date
 - b) Amber – one or more of the mitigation tasks are falling behind and there are some concerns that the target score may not be achievable by the target date unless the shortcomings are addressed
 - c) Red – significant mitigation tasks are falling behind and there are serious concerns that the target score will not be achieved by the target date and the shortcomings must be addresses and/or new tasks are introduced
- 3.4 The current risks are those identified against the departmental objectives for 2017/18 and have been reviewed for this report.

4. Attachments

4.1 **Appendix A** provides Committee members with the full departmental risk register including Adult Social Care corporate risks.

Appendix B provides Members with a Risk Matrix, showing where the risks sit on the risk spectrum from 1 (lowest possible score) to 25 (highest possible score).

5. Financial Implications

5.1 There are no financial implications other than those identified within the risk register.

6. Issues, risks and innovation

6.1 There are no other significant issues, risks and innovations arising from this Risk Management report.

7. Recommendations

7.1 **Committee Members are asked to:**

- a) **Agree to the addition of the new Risk RM023 which will replace RM020a and RM020b**
- b) **Agree to the removal of Risk RM020a/RM14079 and RM020b/RM0207 (as per a above)**
- c) **Agree to change the prospect Risk score for Risk RM014b from red to green**
- d) **Consider if any further action is required**

Officer Contact

If you have any questions about matters contained in this paper or want to see copies of any assessments, e.g. equality impact assessment, please get in touch with:

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