

Adult Social Care Committee

Item No.

Report title:	Risk Management
Date of meeting:	4 July 2016
Responsible Chief Officer:	Harold Bodmer, Executive Director of Adult Social Services
Strategic impact Monitoring risk management and the departmental risk register helps the Committee undertake some of its key responsibilities and provides contextual information for many of the decisions that are taken.	

Executive summary

At the Adult Social Care Committee meeting of 11 May 2015 Members requested a full report at the first meeting of the year followed by exception reports to subsequent meetings

At the first Committee meeting of 2016/17 a report was presented with the full departmental risk register for 2016/17 together with proposals for two new risks. Exception reports will continue to be presented at all future meetings during 2016/17.

Risks are where events may impact on the Department and County Council achieving its objectives and these are set out in the risk register together with tasks to mitigate the risk and with regular progress updates.

Recommendations: Committee Members are asked to:

- a) **Note and comment on progress with departmental risks since 11 May 2016**
- b) **Approve the recommendation to remove two MET risks from the Register**
- c) **Consider if there are any new risks for inclusion on the Adult Social Care Risk Register**
- d) **Consider if any further action is required**

1 Proposal

- 1.1 The Adult Social Care Risk Register has been refreshed for 2016/17 and this report provides Members with an update of the most recent changes. Changes that have arisen with the Corporate Risk Register that are relevant to this committee are also included.

2 Evidence

- 2.1 The Adult Social Services departmental risk register reflects those key business risks that need to be managed by the Senior Management Team and which, if not managed appropriately, could result in the service failing to achieve one or more of its key objectives and/or suffering a financial loss or reputational damage. The risk register is a dynamic document that is regularly reviewed and updated in accordance with the Council's "Well Managed Risk – Management of Risk Framework".
- 2.2 A clear focus on strong risk management is necessary as it provides an essential tool to ensure the successful delivery of our strategic and operational objectives.

2.3 The current risks are those identified against the departmental objectives for 2016/17 and have been reviewed for this report. The review of existing risks has been completed with responsible officers.

2.4 NCC Corporate Risk Register

The Corporate risk register (Appendix 3) includes the following Adult Social Care risks:

- RM014b: *'The amount spent on adult social care transport at significant variance to predicted best estimates'*.
- RM019: *'Failure to deliver a new fit for purpose social care system on time and to budget'*.
- RM020a: *'Failure to meet the long term needs of older people'*.
- RM020b: *'Failure to meet the needs of older people'*.

2.5 Changes to the Adult Social Care Risk Register

2.5.1 RM14237 'Deprivation of Liberty Safeguarding'. Due to an increase in 'the number of priority 1 cases not seen' rising from 222 to 939, 'Prospects of meeting the target risk score by the target date' has been moderated from 'Amber' to 'Red'.

2.6 Progress with departmental risks

2.6.1 Since the last report to this Committee progress has been made with the following risks, both still have 'Prospects of meeting the target risk score by the target date' remaining at 'Amber':

Risk Number	Risk Name	Progress Update
RM13926	Failure to meet budget savings	<ul style="list-style-type: none">• Reporting is due early July. The prospects of meeting the target risk score will be re-evaluated on conclusion of this work• Workshop with Norsecare in early July to develop joint plans
RM14238	Failure in our responsibilities towards carers.	<ul style="list-style-type: none">• Preparation of commissioning plans for future service requirements• Manage and develop the commissioned Carers Service• Monitor and address carers assessments and reviews at the departmental Finance and Performance Board

2.7 New risks added to the Adult Social Care Risk Register

Following agreement of proposals at the last Adult Social Care Committee meeting the following risks have been added to the Adult Social Care Departmental Risk Register:

- a) RM14260 'Failure of the Care Market (through the independent providers) due to difficulties in recruiting staff into the sector'
- b) RM14261 'Staff behaviour and practice changes to deliver the Promoting Independence Strategy'
- c) RM14262 'Integration of capital and revenue funding sources and integration of budgets between the Council, health organisations and district councils has a negative impact on available resources for delivery of adult social care'

2.8 Met risks recommended to be removed from the Adult Social Care Risk Register

Members are asked to consider the removal of two 'Met' risks from the Adult Social Care Risk Register:

- a) RM13929 - The speed and severity of change - It is proposed that this risk is removed as it has been MET. A new HR risk, RM14261 - Staff behaviour and practice changes to deliver the Promoting Independence Strategy, has been added to the register as agreed by Committee at the 16 May 2016 meeting
- b) RM14150 – Impact of DNA - It is recommended that this risk is removed as the project is complete

- 2.9 There remains a strong corporate commitment to the management of risk and appropriately managing risk, particularly during periods of organisational change.

3 Financial Implications

- 3.1 There are no financial implications other than those identified within the risk register.

4 Issues, risks and innovation

- 4.1 The report reflects the priority risks.

5 Background

- 5.1 Appendix 1 provides the Committee members with a summary departmental risk register for 2016/17. At Appendix 2 is a copy of the risk scoring matrix to show the scoring methodology for Impact and Likelihood. Appendix 3 shows the departmental risks which appear on the Corporate Risk Register.

6 Recommendations

- 6.1 **Committee Members are asked to:**

- a) **Note and comment on progress with departmental risks since 11 May 2016**
- b) **Approve the recommendation to remove two MET risks from the Register**
- c) **Consider if there are any new risks for inclusion on the Adult Social Care Risk Register**
- d) **Consider if any further action is required**

Officer Contact

If you have any questions about matters contained in this paper or want to see copies of any assessments, e.g. equality impact assessment, please get in touch with:

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