

Adult Social Care Committee

Item No.

Report title:	Risk Management
Date of meeting:	10 October 2016
Responsible Chief Officer:	Catherine Underwood, Acting Executive Director of Adult Social Services
Strategic impact Monitoring risk management and the departmental risk register helps the Committee undertake some of its key responsibilities and provides contextual information for many of the decisions that are taken.	

Executive summary

At the Adult Social Care Committee meeting of 11 May 2015 Members requested a full report at the first meeting of the year followed by exception reports to subsequent meetings

At the first Committee meeting of 2016/17 a report was presented with the full departmental risk register for 2016/17 together with proposals for two new risks. Exception reports will continue to be presented at all future meetings during 2016/17.

Risks are where events may impact on the Department and County Council achieving its objectives and these are set out in the risk register together with tasks to mitigate the risk and with regular progress updates.

Recommendations: Committee Members are asked to:

- a) **Note and comment on progress with departmental risks since 4 July 2016**
- b) **Note the updates on the risks as detailed at 2.4.1**
- c) **Consider if there are any new risks for inclusion on the Adult Social Care Risk Register**
- d) **Consider if any further action is required**

1 Proposal

- 1.1 The Adult Social Care Risk Register has been refreshed for 2016/17 and this report provides Members with an update of the most recent changes. Changes that have arisen with the Corporate Risk Register that are relevant to this committee are also included.

2 Evidence

- 2.1 The Adult Social Services departmental risk register reflects those key business risks that need to be managed by the Senior Management Team and which, if not managed appropriately, could result in the service failing to achieve one or more of its key objectives and/or suffering a financial loss or reputational damage. The risk register is a dynamic document that is regularly reviewed and updated in accordance with the Council's "Well Managed Risk – Management of Risk Framework".
- 2.2 A clear focus on strong risk management is necessary as it provides an essential tool to ensure the successful delivery of our strategic and operational objectives.

2.3 The current risks are those identified against the departmental objectives for 2016/17 and have been reviewed for this report. The review of existing risks has been completed with responsible officers.

2.4 Progress with corporate and departmental risks

2.4.1 Since the last report to this Committee progress has been made with the following risks:

Risk Number	Risk Name	Progress Update
RM014b (corporate risk)	The savings to be made on Adult Social Services transport are not achieved.	<ul style="list-style-type: none"> • Titan (Travel Independent Training Across the Nation) training is being piloted from October • Reviewing business case following detailed costings to refurbish a centre in Thetford to provide day services for younger people with complex Learning Difficulties • Engagement events held to encourage transport providers to sign up to Trusted Traders for Transport so that where people are able they can arrange and pay for transport themselves and it is being promoted in Your Norfolk
RM13931 (departmental risk)	A rise in acute hospital admissions / pressure on acute services or reduction in acute capacity.	<ul style="list-style-type: none"> • Joint integrated focus on community unit flow has benefited the whole system
RM14085 (departmental risk)	Failure to follow data protection procedures	<ul style="list-style-type: none"> • The NCC intranet has been amended to include a number of updated policies and procedures around data protection • Briefing sessions on the ICO Audit have been held with relevant staff and all staff are aware of their responsibilities with regard to Data Protection
RM14237 (departmental risk)	Deprivation of Liberty Safeguarding	<ul style="list-style-type: none"> • Use of ADASS guidance to prioritise cases • E- DoLS implemented • 14 NCC sessional BIA's on rota • Course at UEA commissioned for Autumn 2016 in conjunction with Cambs and Suffolk – Norfolk has 4 trainees • Well attended MCA/DoLS partnership group running to improve practice and joint working
RM14238 (departmental risk)	Failure in our responsibilities towards carers.	<ul style="list-style-type: none"> • Continuation of funding for commissioned Carers Service has been confirmed from four CCGs • The preventative assessment is being trialled with carers to improve assessment rates • Wide carer and stakeholder consultation has been undertaken prior to finalising commissioning intentions for carers services
RM14247 (departmental risk)	Failure in the care market	<ul style="list-style-type: none"> • Agreement and implementation of the Cost of Care Exercise • Wider engagement with the market through dialogue on costs, pressures and collaboration

RM14259 (departmental risk)	Integration with community health providers could mean focus on health issues to detriment of NCC capacity, both management and operational.	<ul style="list-style-type: none"> • Monitoring of impact on capacity • Steps taken to address if / when issues arise
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2.4.2 In addition to the above, an Interim Promoting Independence Strategy and Delivery Director has been appointed to help with the delivery of the strategy.

2.4.5 There remains a strong corporate commitment to the management of risk and appropriately managing risk, particularly during periods of organisational change.

3 Financial Implications

3.1 There are no financial implications other than those identified within the risk register.

4 Issues, risks and innovation

4.1 The report reflects the priority risks.

5 Background

5.1 Appendix 1 provides the Committee members with a summary departmental risk register for 2016/17. At Appendix 2 is a copy of the risk scoring matrix to show the scoring methodology for Impact and Likelihood. Appendix 3 shows the departmental risks which appear on the Corporate Risk Register.

6 Recommendations

6.1 **Committee Members are asked to:**

- a) **Note and comment on progress with departmental risks since 4 July 2016**
- b) **Note the updates on the risks as detailed at 2.4.1**
- c) **Consider if there are any new risks for inclusion on the Adult Social Care Risk Register**
- d) **Consider if any further action is required**

Officer Contact

If you have any questions about matters contained in this paper or want to see copies of any assessments, e.g. equality impact assessment, please get in touch with:

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