

**CCG HOSC Response - South Norfolk CCG (mental health lead for the CCGs)**

**1. What is the CCGs' role in monitoring satisfactory delivery of service that NSFT sub-contracts to independent providers?**

The NHS Standard Contract 2017/18 and 2018/19 Technical Guidance sets out the responsibilities of both provider and commissioner in relation to the provision of sub-contracts. The guidance states as below;

“38.4 page 44 - It is important for both commissioners and providers to recognise that subcontracting in no way relieves the provider from responsibility for delivery of the services and for the performance of all of the obligations on its part under the contract: failure on the part of a sub-contractor does not excuse the provider from its obligations to the commissioners.

38.17 page 46 - Management of the sub-contractor is the responsibility of the provider.

38.5 page 44 - Nevertheless, commissioners will have an interest in sub-contracting arrangements. Depending on the scope and nature of the service or contribution being sub-contracted, they will need a greater or lesser degree of assurance as to the identity, level of competence and experience of the sub-contractor and the terms on which it is being appointed. Overall, the level of scrutiny which any subcontract requires from the commissioner should be in proportion to its materiality, in terms of its potential impact on patient care. Commissioners will need to strike a careful balance, aiming for an appropriate and manageable level of oversight and not for micro-management of operational detail.”

With regards to NSFTs subcontracting, if any concerns in relation to that sub-contractors provision is identified, then these are raised with NSFT as either part of quality assurance or performance contract management processes as appropriate.

**2. Do the CCGs get the same level of performance and other information about sub-contracted service as they get about the service that NSFT provides direct?**

Information on all patients under the care of NSFT is contained within the provider's performance reporting whether or not some or all of that care is subcontracted out by NSFT to another provider. However within this performance information it is not always possible at an individual level to ascertain whether or not that care is being provided directly by NSFT or by a subcontractor and in most cases it would not be felt to be appropriate to make this distinction.

With respect to the subcontracting of Out of Area placements NSFT provide CCGs regular reports which show how many people are in an Out of Area placement and who the providers of those placements are. Monitoring of this information in relation to the quality assurance of those out of area placements is taken forward via monthly Clinical Quality Review Meetings (CQRM).

**3. Do the CCGs consider that NSFT will need more funding over and above the additional investment outlined in the STP to meet the requirements of the CQC report?**

Commissioning intentions received from the Trust for 2018/19 makes reference to the need to prioritise and drive forward the CQC action plan. And, CCGs remain committed to meeting their Parity of Esteem expectations. If needed, and by

discussion and mutual agreement, funding can be prioritised in this way although it should be noted this would not be considered additional or new funding.

**4. Do the CCGs consider that NSFT will need more funding for the placement of patients at out-of-Trust facilities following the closure of Mundesley Hospital?**

The CCGs have been working closely with NSFT to ensure that there is effective oversight of and plans in place to reduce the number of patients placed out of Trust facilities. Part of this has been development of an Out of Area (OAP) reduction action plan, which does not rely on the provision of beds at Mundesley Hospital and it is not expected that or has been evidenced that closure of these beds is impacting negatively on the number of OAP or the associated costs of these.

**5. In each year since the establishment of the CCGs (i.e. the years from 2013-14 to 2017-18) how much has each of the five CCGs in Norfolk allocated at the start of the year to:-**

- **NSFT**
- **Mental health services provided by others than NSFT or its subcontractors – specifying who the other providers were and what the other services were**

**(showing both the actual amount allocated by each CCG each year and what percentage of the CCG's overall spending that amount represents).**

An overview of Mental Health spend including Learning Disabilities, Continuing Health Care (MH and LD) and prescribing is shown below. These numbers are in line with Parity of Esteem costs reported to NHSE. This was not reported on in 2013/14 hence the table starts at 2014/15

	Total MH Spend (incl LD, CHC and Prescribing) £'000s				Year on Year Growth		
	2014/15	2015/16	2016/17	2017/18 FOT	2015/16	2016/17	2017/18 FOT
South Norfolk CCG	34,148	36,859	38,499	39,816	8%	4%	3%
North Norfolk CCG	33,016	34,831	35,437	37,427	5%	2%	6%
West Norfolk CCG	30,186	31,213	32,158	33,670	3%	3%	5%
Norwich CCG	43,531	45,921	46,521	50,540	5%	1%	9%
Great Yarmouth & Waveney CCG	43,388	49,289	47,558	47,440	14%	-4%	0%
<b>Total</b>	184,269	198,113	200,173	208,893			

Note - South Norfolk 1718 excludes c.£2m of cost transferred to Norwich CCG

A breakdown of mental health service allocations per year per CCG and the proportions of allocation of the CCGs spend is outlined below. Not all mental health related costs are included within the CCG breakdown, this is provided above. Therefore this information does not represent the total percentage of CCG budget spent on mental health provision and care and should not be interpreted as such. Please note the following for North Norfolk, West Norfolk, South Norfolk and Norwich CCGs:

- NSFT funding contained allocations for both the Secondary Care and Well-Being Services.
- The NCC lines relates to funding transferred to NCC under S75 and other joint commissioning arrangements and supports the following services:
  - CAMHS Joint Commissioning including the Point 1 services and the Non NSFT Local Transformation Project (LTP) funding.

- Funding to support adult mental health reablement and rehabilitation in the community and some voluntary sector provision.
- CCG directly allocated voluntary sector provision includes support for Dementia and Eating Disorders services.
- Other NHS providers includes contract with Cambridge and Peterborough NHS Foundation Trust for core mental health service provision and adult Eating Disorders services. This also includes funding for S12 assessment.

South Norfolk CCG	Expenditure £'000s					% of CCG Expenditure				
Original Budget	2013/14	2014/15	2015/16	2016/17	2017/18	2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	16,910	16,954	17,690	17,762	18,683	7.0%	6.8%	6.6%	6.6%	6.5%
Norfolk County Council	356	325	433	515	525	0.1%	0.1%	0.2%	0.2%	0.2%
Voluntary Sector	55	56	131	111	81	0.0%	0.0%	0.0%	0.0%	0.0%
Other NHS Providers	338	334	318	376	375	0.1%	0.1%	0.1%	0.1%	0.1%

West Norfolk CCG	Expenditure £'000s					% of CCG Expenditure				
Original Budget	2013/14	2014/15	2015/16	2016/17	2017/18	2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	13,316	13,266	13,601	14,425	15,542	6.1%	6.0%	5.9%	6.1%	5.8%
Norfolk County Council	332	331	395	404	514	0.2%	0.1%	0.2%	0.2%	0.2%
Voluntary Sector	50	50	50	50	50	0.0%	0.0%	0.0%	0.0%	0.0%
Other NHS Providers	451	444	436	498	497	0.2%	0.2%	0.2%	0.2%	0.2%

Norwich CCG	Expenditure £'000s					% of CCG Expenditure				
Original Budget	2013/14	2014/15	2015/16	2016/17	2017/18	2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	24,627	24,719	25,958	26,095	29,715	11.3%	11.2%	10.9%	10.7%	10.1%
Norfolk County Council	377	461	466	474	586	0.2%	0.2%	0.2%	0.2%	0.2%
Voluntary Sector	51	51	51	51	53	0.0%	0.0%	0.0%	0.0%	0.0%
Other NHS Providers	338	343	327	428	454	0.2%	0.2%	0.1%	0.2%	0.2%

North Norfolk CCG	Expenditure £'000s					% of CCG Expenditure				
Original Budget	2013/14	2014/15	2015/16	2016/17	2017/18	2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	15,897	15,954	16,356	16,512	18,004	7.5%	7.2%	7.2%	7.2%	6.9%
Norfolk County Council	260	348	325	278	378	0.1%	0.2%	0.1%	0.1%	0.1%
Voluntary Sector	50	50	50	50	50	0.0%	0.0%	0.0%	0.0%	0.0%
Other NHS Providers	290	295	280	339	354	0.1%	0.1%	0.1%	0.1%	0.1%

Great Yarmouth and Waveney	Expenditure £'000s					% of CCG Budget				
Provider	2013/14	2014/15	2015/16	2016/17	2017/18	2013/14	2014/15	2015/16	2016/17	2017/18
NSFT Budget (incl Wellbeing)	28,885	28,772	29,026	29,307	31,666	9.6%	9.2%	9.1%	8.2%	8.8%
Other MH Budgets										
GY&W MIND	242	242	270	242	313	0.1%	0.1%	0.1%	0.1%	0.1%
NHS West Suffolk CCG - Icanho (Brain Injury)	68	98	98	101	70	0.0%	0.0%	0.0%	0.0%	0.0%
NHS Ipswich & East Suffolk CCG - Icanho (Brain Injury)	101	132	132	132	91	0.0%	0.0%	0.0%	0.0%	0.0%
NHS Hertfordshire Partnership NHS FT - Astley Co	266	266	263	268	417	0.1%	0.1%	0.1%	0.1%	0.1%
Norfolk County Council Various MH services (inclu	359	367	804	918	1,166	0.1%	0.1%	0.3%	0.3%	0.3%
Suffolk County Council - Various MH & LD service	1,491	723	491	373	476	0.5%	0.2%	0.2%	0.1%	0.1%
Various NHS & Non NHS (including residential hon	2,535	3,071	2,939	3,596	2,992	0.8%	1.0%	0.9%	1.0%	0.8%

6. How much additional funding has each CCG put in by the end of each of the financial years (i.e. each year from 2013-14 to date in 2017-18) to cover mental health overspends by:-
- NSFT – specifying what the overspend was for (e.g. NSFT's out of trust / area in-patient placements) and showing both the actual amount and what percentage of the CCG's overall spending it represents.
  - Providers other than NSFT – specifying which provider, what the overspend was for and showing both the actual amount and what percentage of the CCG's overall spending it represents.

Below are the details of additional funding provided to NSFT and other providers from 2013/14 to date by CCG. HOSC are asked to note that this additional funding was not put into place to support provider overspends but for other reasons, including; to support provider developments, additional support to NSFT for Out of Area placements, national development programmes (for example CAMHS Local Transformation Programme) and identified areas of need. Please note the following for North Norfolk, West Norfolk, South Norfolk and Norwich CCGs:

- The NCC lines relates to any additional funding transferred to NCC under S75 and other joint commissioning arrangements.

North Norfolk CCG		Expenditure £'000s					% of CCG Expenditure				
Additional Expenditure	2013/14	2014/15	2015/16	2016/17	2017/18		2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	118	0	233	534	727		0.1%	0.0%	0.1%	0.2%	0.3%
Norfolk County Council	0	0	0	58	0		0.0%	0.0%	0.0%	0.0%	0.0%

South Norfolk CCG		Expenditure £'000s					% of CCG Expenditure				
Additional Expenditure	2013/14	2014/15	2015/16	2016/17	2017/18		2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	111	0	382	1,124	755		0.0%	0.0%	0.1%	0.4%	0.3%
Norfolk County Council	0	0	0	71	0		0.0%	0.0%	0.0%	0.0%	0.0%

West Norfolk CCG		Expenditure £'000s					% of CCG Expenditure				
Additional Expenditure	2013/14	2014/15	2015/16	2016/17	2017/18		2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	97	401	248	86	90		0.0%	0.2%	0.1%	0.0%	0.0%
Norfolk County Council	0	0	0	62	0		0.0%	0.0%	0.0%	0.0%	0.0%

Norwich CCG		Expenditure £'000s					% of CCG Expenditure				
Additional Expenditure	2013/14	2014/15	2015/16	2016/17	2017/18		2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	241	0	198	827	780		0.1%	0.0%	0.1%	0.3%	0.3%
Norfolk County Council	0	0	0	64	0		0.0%	0.0%	0.0%	0.0%	0.0%

Great Yarmouth and Waveney		Expenditure £'000s					% of CCG Budget				
	2013/14	2014/15	2015/16	2016/17	2017/18		2013/14	2014/15	2015/16	2016/17	2017/18
NSFT	97	11		968	438		0.0%	0.0%	0.0%	0.3%	0.1%
GY&W MIND			1	12	67		0.0%	0.0%	0.0%	0.0%	0.0%
NHS West Suffolk CCG					3		0.0%	0.0%	0.0%	0.0%	0.0%
NHS Ipswich & East Suffolk CCG			6		5		0.0%	0.0%	0.0%	0.0%	0.0%
NHS Hertfordshire Partnership NHS FT			48	5	132		0.0%	0.0%	0.0%	0.0%	0.0%
Norfolk County Council	152	351		105	104		0.1%	0.1%	0.0%	0.0%	0.0%
Suffolk County Council				155			0.0%	0.0%	0.0%	0.0%	0.0%
Various NHS & Non NHS (including residential homes / nursing homes)	427			558	189		0.1%	0.0%	0.2%	0.0%	0.1%