Communities Committee

Report title:	Risk Management
Date of meeting:	16 January 2019
Responsible Chief Officer:	Tom McCabe, Executive Director of Community and Environmental Services

Strategic impact

One of the Communities Committee's roles is to consider the management of Communities risks. Assurance on the effectiveness of risk management and the Communities departmental risk register helps the Committee undertake some of its key responsibilities. Risk Management contributes to achieving departmental objectives, and is a key part of the performance management framework.

Executive summary

This report provides Members with the Communities Committee departmental risks, reported as at January 2019, following the latest review conducted during December 2018. The reporting of risk is aligned with, and complements, the performance and financial reporting to the Committee.

Recommendations:

Members are asked to consider:
   a) the reconciliation report in **Appendix A**, which details the significant changes to the Communities department level risks;
   b) the departmental level risk reported by exception to this Committee in **Appendix B**;
   c) A summary of the departmental risks that fall under the remit of this Committee in **Appendix C**
   d) The list of possible actions, suggested prompts and challenges presented for information in **Appendix D;**
   e) The background information to put the risk scoring into context, shown in **Appendix E**.

1.   Proposal

1.1   The Community and Environmental Services (CES) Departmental Management Team (DMT) continues to be engaged in the preparation and management of the Communities departmental level risk register.

1.2   The recommendations for Members to consider are set out above.

2.   Evidence

2.1.   The Communities Committee risk data detailed in this report reflects those key business risks that are managed by the Community and Environmental Services Departmental Management Team, and Senior Management Teams of the services that report to the Committee including amongst others Norfolk Fire and

Rescue services, Public Health, Community Information and Learning services, and Culture and Heritage Services. Key business risks materialising could potentially result in a service failing to achieve one or more of its key objectives and/or suffer a financial loss or reputational damage. The Communities departmental risk register is regularly reviewed and updated in accordance with the Council's Risk Management Policy and procedures.

2.2.   There are currently four departmental level risks reported to this Committee, of which one (risk RM14344 - Change of governance in the Fire and Rescue Service) is reported by exception (meeting the exception criteria of having a current score of 12 or more, with a prospects score of achieving the target score by the target date of amber or red).

A reconciliation of risk changes to departmental level risks since the October 2018 Committee can be located in **Appendix A**.

The risk reported by exception can be found in **Appendix B**.

A summary of those departmental level risks that fall within the remit of this Committee can be found in **Appendix C.** The prospects are reported as amber and there is close monitoring of the mitigation actions in place.

2.3.   To assist Members with considering whether the recommended actions identified in this report, and mitigating tasks of the risks, are appropriate, or whether another course of action is required, a list of such possible actions, suggested prompts and challenges are presented for information in **Appendix D**.

The background information to put the risk scoring into context is shown in **Appendix E**.

3.   Financial Implications

3.1.   There are financial implications associated with risk RM14344 – Change in governance in the Fire and Rescue Service, which have been set out in the Council's [formal response](#) (Appendix A to the report *Keep in Safe Hands*) to the PCC's consultation on governance of the Norfolk Fire and Rescue Service.

Whilst the Police and Crime Commissioner has decided not to progress an application to the Secretary of State for the transferral of governance of the Norfolk Fire and Rescue Service now, financial implications remain associated with this risk, should the transferral of governance be considered again later.

4.   Issues, risks and innovation

4.1.   A horizon scan of other Local Authorities' and public-sector organisations' risks has been carried out relating to services that fall within this Committee's remit. Risk themes have been discussed and where appropriate, new risks are to be identified at service level, where not already captured.

5. Background

5.1. To assist Members with understanding the terminology used within this report, background information regarding risk scoring, and definitions can be found in **Appendix E.**

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Officer Contact

If you have any questions about matters contained in this paper or want to see copies of any assessments, eg equality impact assessment, please get in touch with:

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