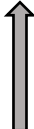



Background Information

LIKELIHOOD 	Almost Certain 5					
	Likely 4			14247 14260	13931 14237	23 13926 14314
	Possible 3				13923 14261 13925	14262
	Unlikely 2		14b	14238	14085	13936 14287
	Rare 1					14149
		Insignificant 1	Minor 2	Moderate 3	Major 4	Extreme 5
IMPACT 						

Above: the County Council's risk matrix template with plotted corporate and departmental risks for the Adult Social Services Department.

Risk Index

RM023 - Failure to respond to changes to demography, funding, and government policy, with particular regard to Adults Services.

RM014b - The savings to be made on Adult Social Services transport are not achieved.

RM13926 - Failure to meet budget savings.

RM13931 - A rise in acute hospital admissions and discharges and pressure on acute services.

RM14237 - Deprivation of Liberty Safeguarding.

RM14262 - The potential risk of shortfall between funding and pressures through integration of capital and revenue funding between the Council, health organisations and district councils.

RM14923 - Risk of failing to deliver Promoting Independence, change programme for Adult Social Services in Norfolk.

RM14261 - Staff behaviour and practice changes to deliver the Promoting Independence Strategy.

RM13925 - Lack of capacity in ICT systems.

RM14085 - Failure to follow data protection procedures.

RM14247 - Failure in the care market.

RM14260 - Failure of the care market (through the independent providers) due to difficulties in recruiting staff into the sector.

RM13936 - Potential for integration to adversely affect delivery of statutory responsibilities or impact on reputation.

RM14238 - Failure in our responsibilities towards carers.

RM14149 - Impact of the Care Act.

RM14287 - Potential failure to meet the needs and safeguarding of adults in Norfolk.

RM14314 - Delayed Transfers of Care (DTCOC).

Tolerance Level Risk Treatment

High Risk (16-25)

Risks at this level are so significant that risk treatment is mandatory

Medium Risk (6-15)

Risks at this level require consideration of costs and benefits to determine what if any treatment is appropriate

Low Risk (1-5)

Risks at this level can be regarded as negligible or so small that no risk treatment is needed

The Council's risk scoring methodology

The prospects of meeting target scores by the target dates reflect how well mitigation tasks are controlling the risk. It is also an early indication that additional resources and tasks or escalation may be required to ensure that the risk can meet the target score by the target date. The position is visually displayed for ease in the "Prospects of meeting the target score by the target date" column as follows:

- a) Green – the mitigation tasks are on schedule and the risk owner considers that the target score is achievable by the target date
- b) Amber – one or more of the mitigation tasks are falling behind and there are some concerns that the target score may not be achievable by the target date unless the shortcomings are addressed
- c) Red – significant mitigation tasks are falling behind and there are serious concerns that the target score will not be achieved by the target date and the shortcomings must be addressed and/or new tasks are introduced.