

# Environment, Development and Transport Committee

Item No.

<b>Report title:</b>	<b>Risk Management</b>
<b>Date of meeting:</b>	<b>6 July 2018</b>
<b>Responsible Chief Officer:</b>	<b>Tom McCabe - Executive Director, Community and Environmental Services</b>
<b>Strategic impact</b> One of the Environment, Development, and Transport (EDT) Committee's roles is to consider the management of EDT's risks. Assurance on the effectiveness of risk management and the EDT departmental risk register helps the Committee undertake some of its key responsibilities. Risk management contributes to achieving departmental objectives, and is a key part of the performance management framework.	

## Executive summary

This report provides the Committee with information from the latest EDT risk register as at July 2018, following the latest review conducted in June 2018. The reporting of risk is aligned with, and complements, the performance and financial reporting to the Committee.

### Recommendations:

Members are asked to consider:

- a) **The changes to EDT departmental risks since the last Risk Management report was reported to this Committee in March 2018, in Appendix A;**
- b) **The risks reported by exception in Appendix B;**
- c) **The summary of EDT departmental risks in Appendix C;**
- d) **The list of possible actions, suggested prompts and challenges presented for information in Appendix D;**
- e) **The background information to put the risk scoring into context, shown in Appendix E.**

## 1. Proposal

- 1.1 The Community and Environmental Services (CES) Departmental Management Team (DMT) continues to be engaged in the preparation and management of the Communities departmental level risk register.
- 1.2 The recommendations for Members to consider are set out above.

## 2. Evidence

- 2.1. The EDT Committee risk data detailed in this report reflects those key business risks that are managed by the Community and Environmental Services Departmental Management Team, and Senior Management Teams of the services that report to the Committee including amongst others Planning and Economy, and Highways. Key business risks materialising could potentially result in a service failing to achieve one or more of its key objectives and/or suffer a financial loss or reputational damage. The EDT risk register is a dynamic document that is regularly reviewed and updated in accordance with the Council's Risk Management Policy and Procedures. The current risks are those linked to departmental objectives.
- 2.2. The Exceptions Report, in **Appendix B**, focuses on risks that have a current risk score of 12 and above with prospects of meeting the target score by the target date of amber or red. There is currently one risk that meets this criteria, as seen in this appendix.
- 2.3. The EDT risk register contains four corporate and departmental level risks that fall under the remit of this Committee. **Appendix C** provides the Committee members with a summary of these risks. Of these four risks, one risk has a green prospects score of meeting the target score by the target date, and three have an amber prospects score. None of the risks have a red prospects score. Please see Appendix C for details of Prospects scoring.
- 2.4. To assist Members with considering whether the recommended actions identified in this report are appropriate, or whether another course of action is required, a list of such possible actions, suggested prompts and challenges are presented for information in **Appendix D**.
- 2.5. Of these four risks, one risk has a green prospects score of meeting the target score by the target date, and three have an amber prospects score. None of the risks have a red prospects score. Please see **Appendix E** for details of Prospects scoring.

## 3. Financial Implications

- 3.1. Whilst the likelihood of not delivering the NDR to its revised budget has significantly reduced, there remain project risks of not delivering the NDR to budget. This risk will remain open until the final account for the construction works is closed, which project officers are focussing on.

## 4. Issues, Risks and Innovation

- 4.1 There is an element of Risk RM14200 - Failure to meet NCC carbon reduction target, which is covered by the street lighting team, under the remit of EDT, reported to the Business and Property Committee. Risk RM14250 - Infrastructure is not delivered at the required rate to support existing needs and the planned growth of Norfolk, is also reported to the Business and Property Committee.

## 5. Background

- 5.1. Background information regarding risk scoring, and definitions can be found in **Appendix E**.

## **Officer Contact**

If you have any questions about matters contained in this paper or want to see copies of any assessments, e.g. equality impact assessment, please get in touch with:

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