

Proposed Internal Audit Plan for the Second Half of the Year, 2018-19**Details of Deferred / Cancelled audits****Appendix B (ii)**

Assurance Area and Audit topic	Audit Days	Brief description of the audit scope and purpose	Why cancelled
Children's Services			
Early Help and Prevention			
New Directions Cancelled	15	Assurance over the governance arrangements for this service and that the service is delivering outcomes for its purpose	The risks are no longer present.
Education			
Commissioning of education placements for Children with High Needs Cancelled	20	Assurance that the systems and processes for commissioning education placements for children with high needs is efficient and effective	Priority has been given to the Joint Area SEND Inspection
Total Children's Services	35		
Strategy			
Health & Safety			

Asbestos audit being carried out as a thematic School's audit	10	Assurance over the adequacy and effectiveness of key controls	Other work will fulfil the objective.
Total Strategy	10		
Finance			
Audit of material systems Cancelled	3	Assurance that appropriate authorised staff are signing travel and overtime claims and that budget holders are aware of the expenditure against their cost centre	External Auditors do not require us to do this work now
Payroll - Authorisation of travel/overtime claims Cancelled - days transferred to Pre-Payment Cards to do Managed A/cs	10		A new system is being implemented.
Corporate Property Team			
Data Quality within C2 and Piranha systems Cancelled	15	Assurance that processes and controls are adequate and effective in supporting good data quality (Property - duty of care)	Other work will fulfil the objective.
Information Management Technology			
Software Asset Management Cancelled, watching Brief 1 day in Q3/4	12	Assurance over the adequacy and effectiveness of key controls (includes a follow up of the 2015-16 management letter / agreed actions)	Priority has been given to project work in the service.

ICT Asset Disposals Cancelled, Watching Brief 1 day in Q3/4	12	Assurance over the adequacy and effectiveness of key controls and processes (part of the technology and improvement programme)	Priority has been given to project work in the service.
Liquid Logic Removed as duplicate with Data Quality LAS in Adults	20	Assurance on processes and embedding of system controls around data quality to include retention, disposal, archiving and monitoring of data quality	Other work will fulfil the objective.
Awareness of Information Management policies, procedures , roles and responsibilities - follow up audit Cancelled	10	Assurance that the agreed actions from the 2017-18 audits have been fully implemented	Other work will fulfil the objective.
Total Finance	82		
Total Number of Opinion Days to be delivered to NCC	127		