

## Appendix B – Risk Reconciliation Report

Significant changes to the risk register since the last Audit Committee Risk Management report was presented in September 2016.

### Risk closures:

There is one risk closure to report since the last Audit Committee Risk Management report:

**RM005 - The risk that we cannot provide laptops that are configured and maintained to be modern, reliable and fit for purpose.** We have now resolved all significant functional issues with the laptops. This risk was closed in November 2016 and reported to the November 2016 P&R Committee.

### Score changes:

There are two score changes to report:

**RM003 - Potential reputational and financial risk to NCC caused by failure to comply with statutory and/(or) national/local codes of practice.** The current score has been reduced from 15 to 12, with the current impact score reduced from 5, to 4, to take into account the increase in corporate tools to manage and ensure compliance - Information Asset Register, Policies and Procedures, Training and Awareness Strategy and Business buy-in.

**RM017 - Failure to construct and deliver the Norwich Northern Distributor Route (NDR) within agreed budget (£179.5m).** The current score has been increased from 9 to 12, with the current likelihood score increased from 3 to 4. A Special Projects Support Manager has been assigned to the NDR project to provide additional capacity on our commercial side. The project team are actively monitoring and managing the project risks and following from a review of risks there are issues that may impact on the budget, including land costs and contract management costs. Further details are included in the finance monitoring report to the January EDT Committee.

### Prospect score changes:

**RM017 – Failure to construct and deliver the Norwich Northern Distributor Route (NDR) within agreed budget (£179.5m).** The prospects of meeting the target score by the target date has moved from Amber to Red. Further details can be found directly above and in the finance monitoring report to the January EDT Committee.

### **Other Significant Changes:**

There is one other significant change to report:

#### **RM001 - Infrastructure is not delivered at the required rate to support existing needs and the planned growth of Norfolk**

Since the last Audit Committee Risk Management report was presented in September 2016, there have been revisions made to Risk RM001. This risk was refreshed in October 2016 to better represent the funding element of the risk of not delivering infrastructure at the required rate. Amendments have been made to the risk title, description, mitigations and progress against risk mitigations.

**RM014a - The amount spent on home to school transport at significant variance to predicted best estimates** - The head of Education Inclusion Service has confirmed, with colleagues with Passenger Transport Unit, that Norfolk County Council can sign-up to the Hackney Community Transport 'payment by results' initiative. Whilst details of the programme are pending, the plan over the next 5 years, is for a cohort of 100 pupils per year to be targeted for this intensive work via Hackney Community Transport (HCT).

There are two new Executive Directors working for Norfolk County Council: James Bullion is the Executive Director of Adults Services, and Andrew Bunyan is the Interim Executive Director of Children's Services. Risks from the former Interim Executive Director of Adults Services have been transferred to James Bullion's ownership.

\* A significant change can be defined as any of the following;

- A new risk
- A closed risk
- A change to the risk score
- A change to the risk title, description or mitigations (where significantly altered).